



2018 AMF ENFORCEMENT COMMITTEE SYMPOSIUM ORGANIZED BY THE AUTORITÉ DES MARCHÉS FINANCIERS



Wednesday 3 October 2018 – Palais Brongniart, place de la Bourse – Paris 2^e

□ 13:30 - 14:00

Welcome of the participants

□ 14:00 - 14:15

Introduction speech by **Marie-Hélène Tric**, Chair of the Enforcement Committee of the AMF

□ 14:15 - 15:45

Round table 1 – The question of financial analysis

Discussion moderator: **Bernard Field**, Member of the Enforcement Committee of the AMF

Panelists:

- **Sophie Baranger**, Managing Director in charge of the Investigation and Inspection Directorate of the AMF
- **France Drummond**, Professor of law, University Panthéon-Assas
- **Thierry Giami**, Chairman, SFAF (French society of financial analysts)
- **Olivier Psaume**, Chairperson of the Cliff (the French association of financial communication professionals), Head of Investor Relations, SOPRA STERIA
- **Xavier Pedro Zahn Garcia**, Secondary Markets Directorate, CNMV (*Comisión Nacional del Mercado de Valores*)

□ 15:45 - 16:15

Break

□ 16:15 - 17:45

Round table 2 – Cooperation between the respondents and the AMF during investigations or inspections and sanctions

Discussion moderator: **Jean Gaeremynck**, Section President at the Conseil d'État, Member of the Enforcement Committee of the AMF

Panelists:

- **Jean-Luc Blachon**, First Vice-Prosecutor, *Parquet National Financier*
- **Sophie Bresny**, Head of Inspections Unit, *Autorité de la concurrence*
- **Andrew Cotterell**, Head of Law, Policy & International, (FCA) Financial Conduct Authority
- **Muriel Goldberg Darmon**, Partner, Cohen & Gresser LLP
- **Benoît de Juvigny**, Secretary General, AMF

□ 17:45 - 18:00

Conclusion by **Robert Ophèle**, Chairman of the AMF

□ 18:00

Cocktail